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UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

OMB Number:

Expires: July 31, 2016 Estimated average burden heurs per response. . . . . 3.60

Mail Processing Section

JUL 182018

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Product Pursuant to Rule 19b-4(e)

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. Choe BYX Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See Attached. 3. Class of New Derivative Securities Product: See Attached. Name of Underlying Instrument: 4. See Attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See Attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See Attached. Market or Markets Upon Which Securities Comprising Underlying Instrument 7. Trades: See Attached. Settlement Methodology of New Derivative Securities Product: 8. Trades Locked in at Exchange and settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable. 18008299 Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Act Securities Exchange Act of 1934 Name of Official Responsible for Form: Section Rule Anders Franzon 19b-4(e) Public Title: SVP, Deputy General Counsel JUL 18 2018 Availability: Telephone Number: 913-815-7154 Manual Signature of Official Responsible for Form: Date: July 17, 2018

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON CBOE BYX EXCHANGE, INC. COMMENCING ON JULY 12, 2018

	(2) Type of			(7) Market(s) upon Which Securities Comprising	<u>(5)</u>
(6) Ticker	<u>Issuer of</u>		(4) Name of Underlying	<u>Underlying Instrument</u>	Broad or
<u>Symbol</u>	<u>NDSP</u>	(3) Class of NDSP	<u>Instrument</u>	<u>Trades</u>	<u>Narrow</u>
			-		
		Investment Company			
OPER	Trust	Units	<b>Equity Securities</b>	U.S.	Broad
		In contra ant Consumer.			
		Investment Company			
DWMC	Trust	Units	Equity Securities	U.S.	Broad
1					
	,	Investment Company			
DWSH	Trust	Units	Equity Securities	U.S.	Broad

## C\*boe

July 17, 2018

Ms. Claudette Ransom Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549 SEC Mail Processing Section JUL 182018

Washington DC 406

RE: Form 19b-4(e) - Cboe BYX Exchange, Inc.

Ms. Ransom,

On behalf of Cboe BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on Cboe BYX Exchange on July 12, 2018. Please contact me if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

SVP, Deputy General Counsel

913.815.7154

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